# **Lighting & Shading**

Fall 2023 11/9/2023 Kyoung Shin Park Computer Engineering Dankook University

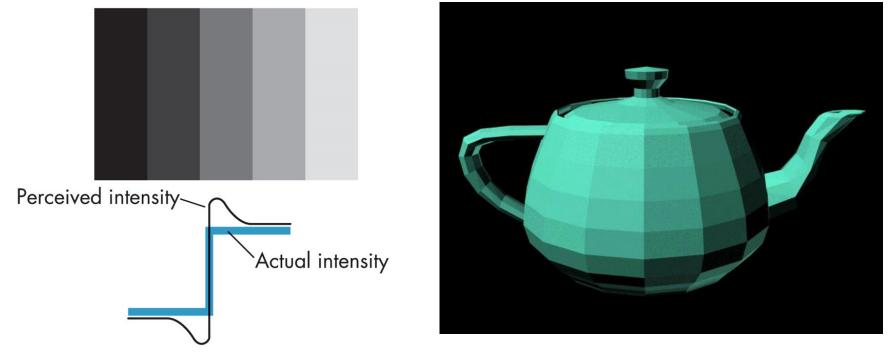
## Shading

- Shading is the process of determining the colors of the pixels in a primitive.
  - **Flat shading** fills the polygon face with the first vertex color
  - Gouraud shading (smooth shading) interpolates the polygon face with the colors at each vertex
  - Phone shading interpolates the polygon normal

## **Flat Shading**

#### Flat shading

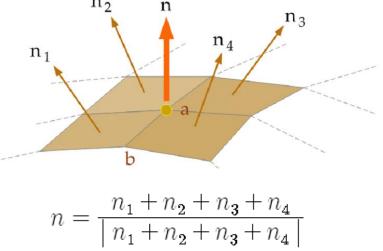
- The color of the entire given polygon painted with the same color.
- Also called constant shading, facet shading
- Mach band effect in flat shading an optical phenomenon from edge enhancement due to lateral inhibition of the retina



### **Gouraud Shading**

**Fills** the inside of a polygon with different colors.

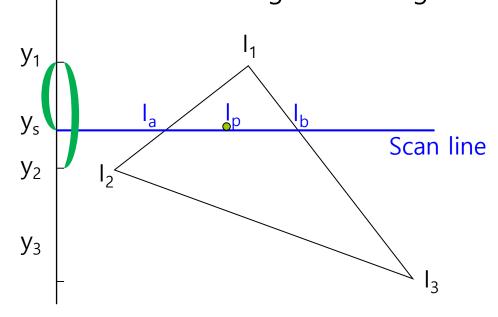
- Interpolating vertex color
  - Requires the normal vector of the vertex, which is calculated by averaging the normal vectors of the adjacent surface.
  - Linear interpolation of inner surface color from vertex color.
- Does not take specular light into account
  - This is because the actual vertex normal vector and the approximate calculated normal vector do not completely coincide.
    n
    n
    n



### **Gouraud Shading**

Gouraud shading interpolates color

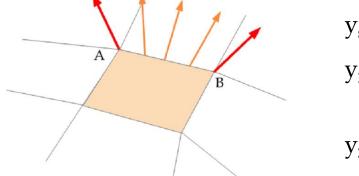
 Using the brightness intensity of the starting point and the ending point, and it calculates the brightness intensity between them as a weighted average of the two.

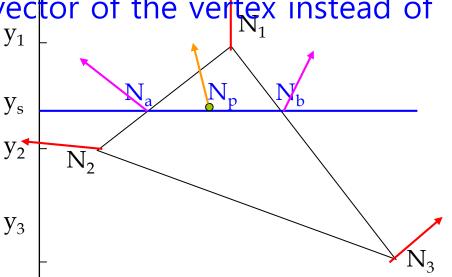


$$I_{a} = I_{1} - (I_{1} - I_{2}) \underbrace{y_{1} - y_{s}}_{y_{1} - y_{2}}$$
$$I_{b} = I_{1} - (I_{1} - I_{3}) \frac{y_{1} - y_{s}}{y_{1} - y_{3}}$$
$$I_{p} = I_{b} - (I_{b} - I_{a}) \frac{x_{b} - x_{p}}{x_{b} - x_{a}}$$

## **Phong Shading**

Interpolate the normal vector of the vertex instead of the color of the vertex  $y_1$ 

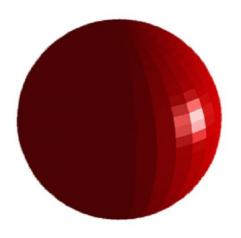


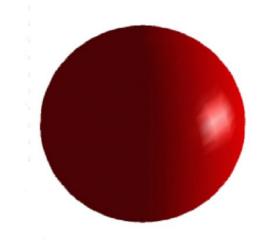


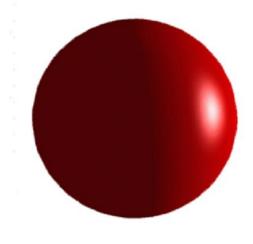
В

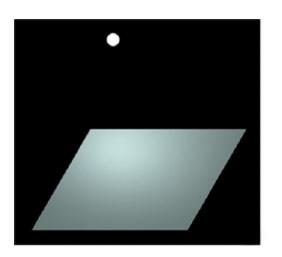
The slope of the surface is restored. It can give specular light.

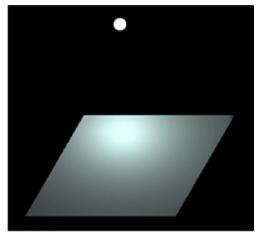
#### Flat, Gouraud, and Phong Shading





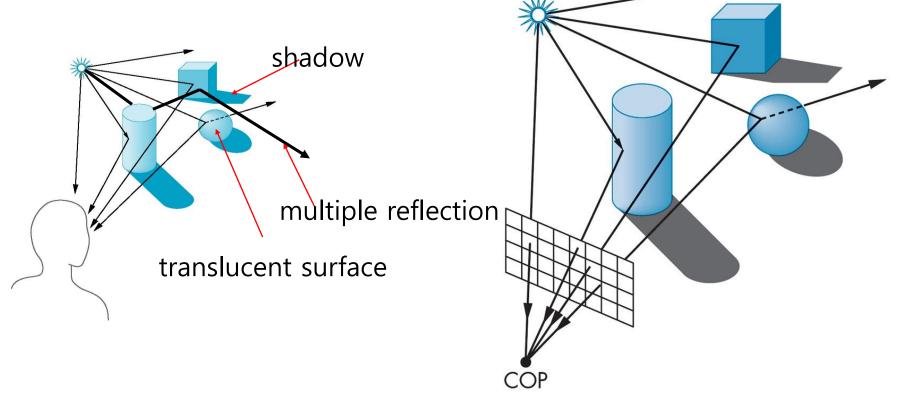






## **Lighting Model**

- The infinite scattering and absorption of light can be described by the rendering equation
  - Cannot be solved in general
  - Ray tracing is a special case for perfectly reflecting surfaces



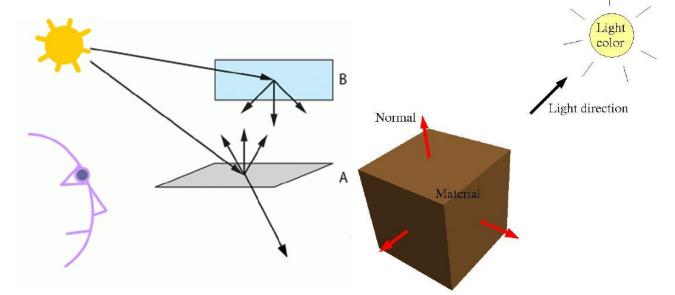
## **Lighting Model**

#### Direct Illumination Model

- Light model that deals with the light that points on the surface of an object receive directly from all light sources in the scene
- It is mainly used in traditional real-time rendering because of low computation
- Phong reflection model
- Global Illumination Model
  - Light model that considers incident light reflected from other objects
  - Global illumination model includes radiosity, raytracing, photon mapping, etc
  - Real-time GPU programming-based hemisphere lighting

## Lighting

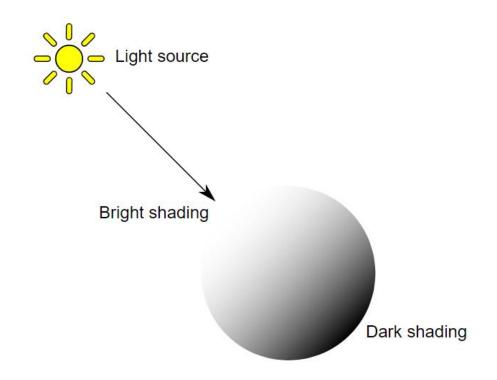
- **Light starts at the lighting source**
- □ The light strike on the surface, it
  - Absorption
  - Reflection
  - Transmission or Refraction
- Shading is determined by light source color, material properties, viewer location, surface orientation.



## Lighting

#### Light Components

- Lights (L)
- Materials
- Viewer (V)
- Vertex Normals (N)



### **Light and Material Interaction**

- When using lighting, we no longer specify vertex colors ourselves; rather, we specify materials and lights, and then, apply a lighting equation, which computes the vertex colors for us based on light/material interaction.
- Materials can be thought of as the properties that determine how light interacts with an object.
- We model lights by an additive mixture of red, green, and blue light (RGB); we can simulate many light colors.

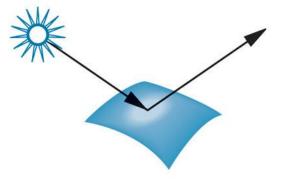
### **Light-Material Interactions**

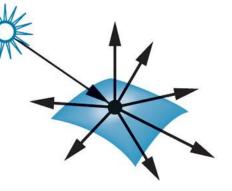
#### Specular surface

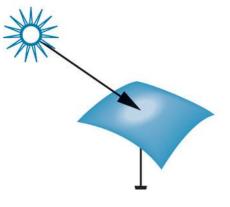
The smoother a surface, the more reflected light is concentrated in the direction a perfect mirror would reflected the light.

#### Diffuse surface

- A very rough surface **scatters light in all directions**.
- Translucent surface
  - In a translucent surface, some light penetrates the surface and exist to other locations on the object (refraction)







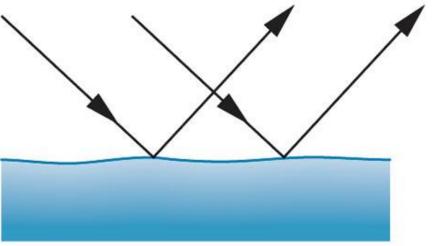
smooth surface

rough surface

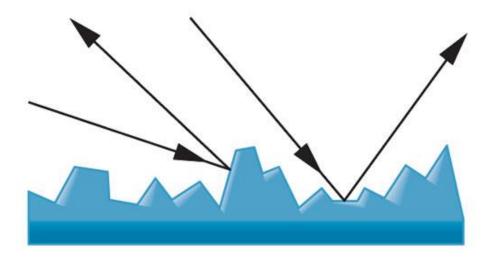
translucent surface

### **Light-Material Interactions**

Perfectly Specular surface
 = very smooth surface



Perfectly Diffuse surface= very rough surface

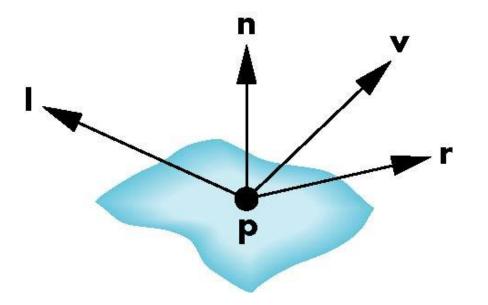


### **Angle of Incidence**

Angle of Incidence

The angle between the light source vector and the normal vector

 $N \cdot L = \|N\| \|L\| \cos \theta = (1)(1) \cos \theta = \cos \theta$ 



### **Angle of Reflection**

□ Angle of Reflection

• The angle of incident and the angle of reflection are the same.

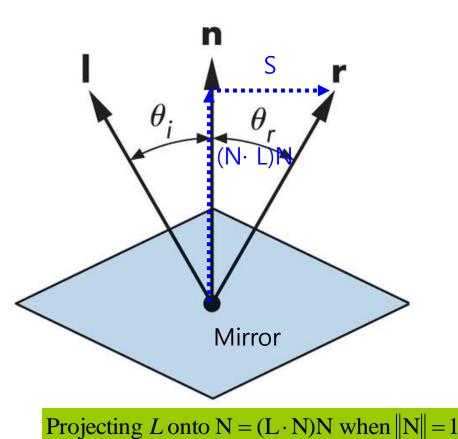
$$\theta_i = \theta_r$$

$$R = (N \cdot L)N + S$$

$$L = (N \cdot L)N - S$$

$$\Rightarrow S = (N \cdot L)N - L$$

$$\Rightarrow R = 2(L \cdot N)N - L$$

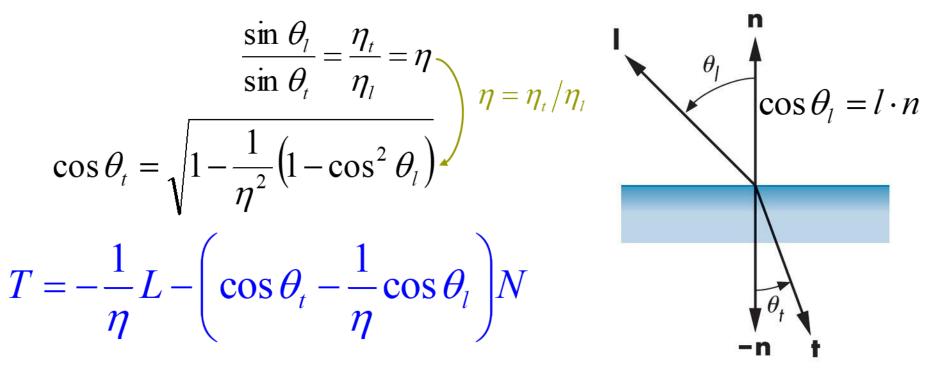


#### **Indices of Refraction**

#### Refraction

•  $\eta_{k} \eta_{t}$  = the indices of refraction of two materials

**Snell's law:** 



Perfect light transmission

## **Lighting Component**

#### Light

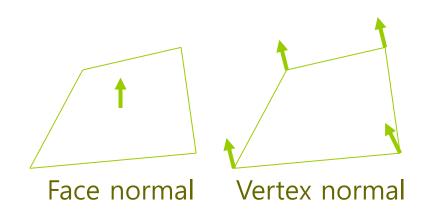
- Ambient light Ambient light comes from no particular direction. It reflects equally in all directions.
- Diffuse light The basic lighting effects is diffuse lighting. The intensity of diffuse lighting depends on the orientation of the surface relative to the light source. Diffuse light is reflected equally in all directions.
- Specular light Specular light is the light that is directly reflected off the surface to the camera. Its intensity depends on the orientation of the surface relative to camera, as well as to the light source.

### **Materials**

- Material properties define how a surface reflects light. Basically, they represent the surface color.
- When lighting is active, the material is used instead of color.
- Material
  - Surface diffuse/ambient/specular reflections
  - Emissive material (to make object appeared to be selfluminous)
  - Sharpness of specular reflection (higher value, smaller highlights)

#### **Vertex Normal**

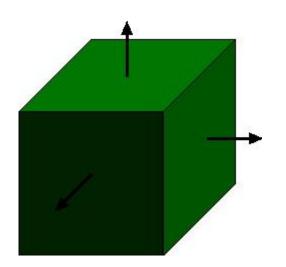
- Normal
  - Lighting computation uses vertex normals
- Vertex structure
  - Use normals instead of colors

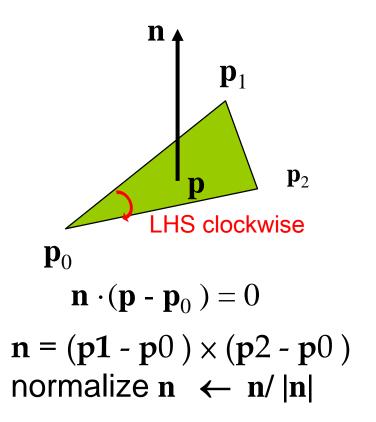


#### **Face Normal**

#### Face Normal

 Compute the normal vector of a triangle consisting of vertex p0, p1, p2

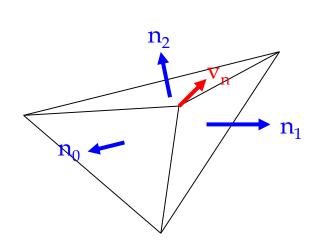


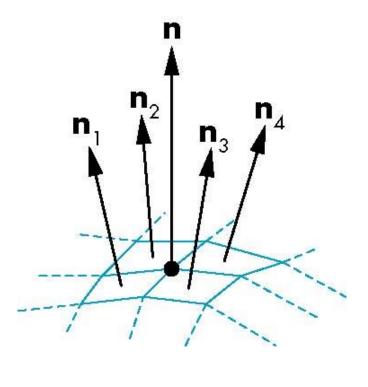


#### **Vertex Normal**

#### Vertex Normal

Vertex normal calculation using adjacent faces

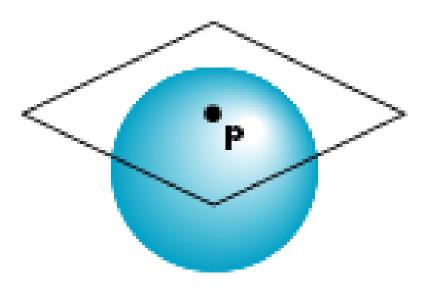




$$n = \frac{n_1 + n_2 + n_3 + n_4}{\mid n_1 + n_2 + n_3 + n_4 \mid}$$

### **Normal to Sphere**

- **I** Implicit function of Sphere:
  - f(x,y.z)=0
- Unit Sphere:
  - $f(p) = p \cdot p \cdot 1 = 0$
- Sphere Normal
  - $n = [\partial f / \partial x, \partial f / \partial y, \partial f / \partial z]^T = p$



## **Ambient Lighting**

#### Ambient lighting

- Ambient light, also known as environmental light, is light that is present all around the Scene and doesn't come from any specific source object.
- It can be an important contributor to the overall look and brightness of a scene.

#### Ambient Reflection = $K_a I_a$

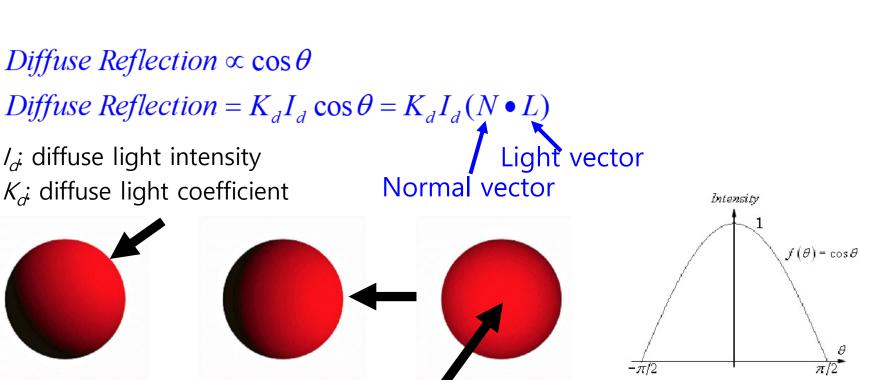
 $I_a$ : ambient light intensity  $K_a$ : ambient light coefficient (0 <=  $K_a$  <= 1)

 $(L_a \otimes M_a)$   $L_a$ : ambient light color  $M_a$ : ambient material color



## **Diffuse Lighting**

- Lambert's Cosine Law
  - $\theta$  between the normal and light vector
  - Maximum intensity when the normal and light vector are perfectly aligned ( $\theta = 0$ )
  - $f(\theta) = max(cos\theta, 0) = max(L \bullet N, 0)$



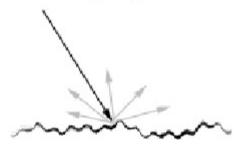
I sin θ

I cos θ

## **Diffuse Lighting**

Diffuse lighting calculation (viewpoint independent)

Incoming Light



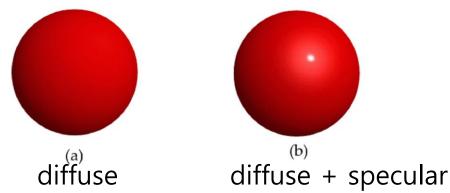
 $\max(L \bullet N, 0) \bullet (L_d \otimes M_d)$ 

*L<sub>a</sub>*: diffuse light color *M<sub>a</sub>*: diffuse material color *L*: light vector *N*: vertex normal vector

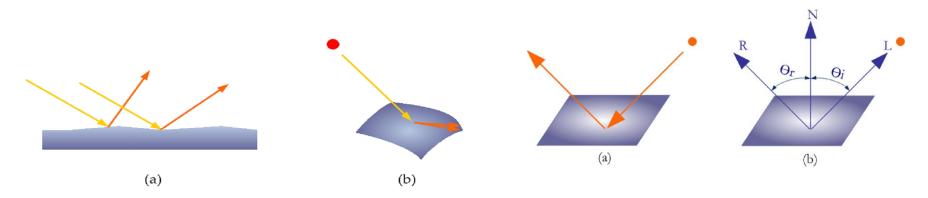
## **Specular Lighting**

**D** Specular light is a light reflected off a smooth surface.

- Specular reflection
- The color of the light source, not the color of the object

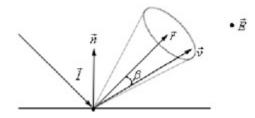


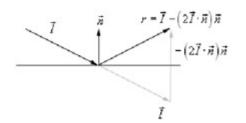
 Specular light is visible when the viewpoint is in the exact opposite direction.



## **Specular Lighting**

Specular lighting calculation (viewpoint dependent)

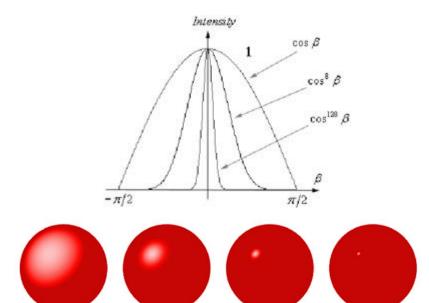




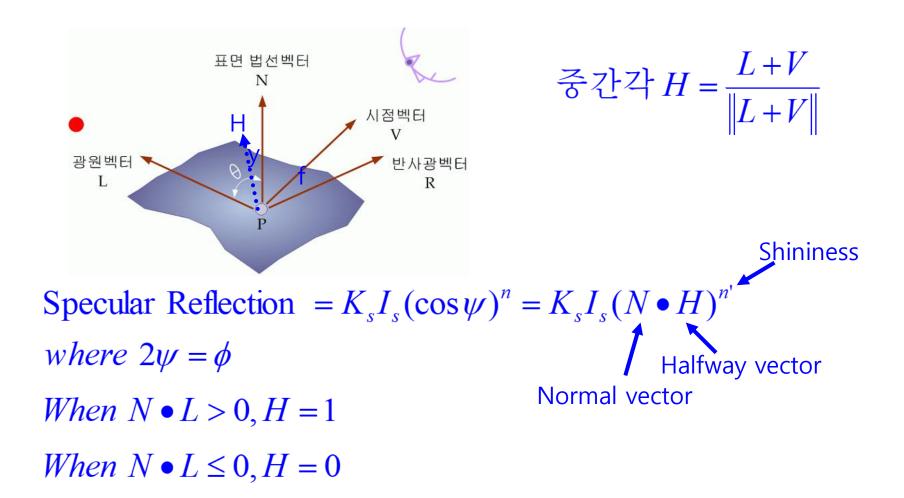
L<sub>s</sub>: specular light color M<sub>s</sub>: specular material color V: view vector

*R*: light reflection vector,  $R = L - (2L \bullet N)N$ 

$$(\max(V \bullet R, 0))^p \bullet (L_s \otimes M_s)$$



### Halfway Vector [Blinn]



### **Light Attenuation**

- Light attenuation
  - Light intensity weakness as a function of distance based on the inverse square law.

$$I(d) = \frac{I_0}{d^2}$$

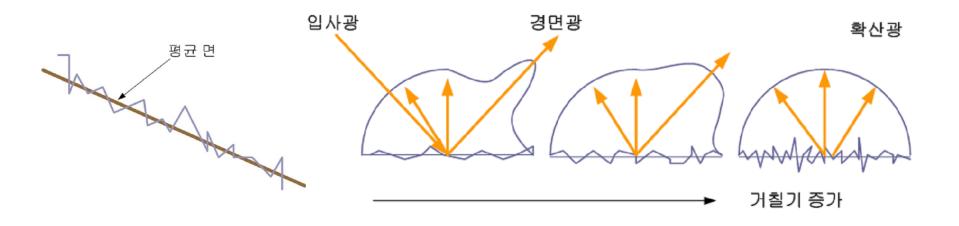
$$I(d) = \frac{I_0}{a_0 + a_1 d + a_2 d^2}$$

$$d = \|S - P\| (i.e., the distance between P and S)$$

## **Microfacet Model**

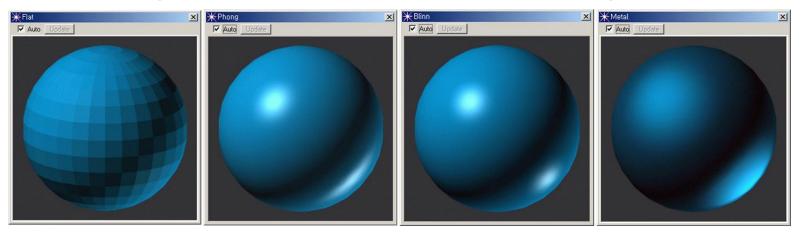
Modeling the roughness of the surface

- Based on the direction of the average plane
- Controls the curvature or shape of microsurfaces using a parameter called surface roughness



## **Microfacet Model**

#### □ Flat, Phong, Blinn, Cook-Torrance Shading



#### Blinn

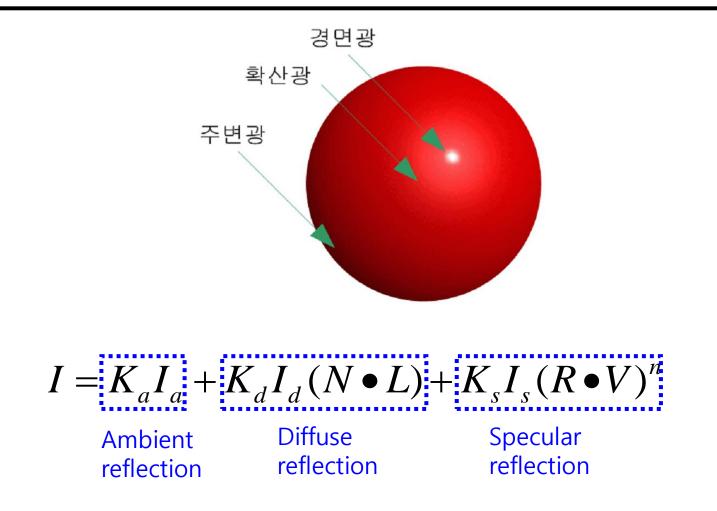
Similar to Phong. The specular light component spreads more gently.

 $I_{specular} = K_s I_s (\hat{N} \cdot \hat{H})^{\beta}$ 

#### **D** Cook-Torrance (Metal shading)

- Advantageous for subtle specular light on metal surfaces
- Phong model: plastic material

#### **Direct Illumination Model**



### **Light Attenuation**

Adjust the brightness intensity according to the distance between the light source and the object

$$I = K_a I_a + f_{att}(d) \{ K_d I_d (N \bullet L) + K_s I_s (N \bullet H)^n \}$$
$$f_{att}(d) = \frac{1}{d^2}$$
$$f_{att}(d) = \frac{1}{k_0 + k_1 d + k_2 d^2}$$
$$f_{att}(d) = \min\left(\frac{1}{k_0 + k_1 d + k_2 d^2}, 1\right)$$

### **Multiple Light Sources**

Multiple light sources

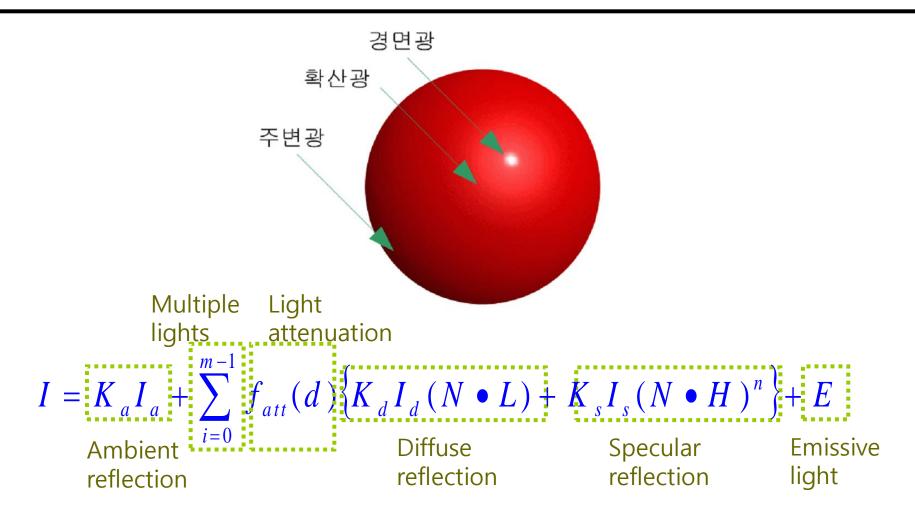
$$I = K_a I_a + \sum_{i=0}^{m-1} f_{att}(d) \Big\{ K_d I_d (N \bullet L) + K_s I_s (N \bullet H)^n \Big\}$$

**\square** Emissive illumination  $I_e = E$ 

 Certain objects not only reflect light but also emit light, which is called emissive lighting. Simply add the color of the emitted light.

$$I = K_{a}I_{a} + \sum_{i=0}^{m-1} f_{att}(d) \left\{ K_{d}I_{d}(N \bullet L) + K_{s}I_{s}(N \bullet H)^{n} \right\} + E$$

### **Direct Illumination Model**



OpenGL uses the modified Phong model (Blinn model) with Halfway vector.